AIU Holdings

ENVIRONMENTAL AND GENERAL LIABILITY EXPOSURES (EAGLE) PROGRAM® Application

FOR USE IN APPLYING FOR THE FOLLOWING PRODUCTS

EAGLE PRIMARY: COMMERCIAL GENERAL LIABILITY AND POLLUTION LEGAL LIABILITY COVERAGE FORM

EAGLE UMBRELLA: UMBRELLA POLICY FORM

The applicant is responsible for obtaining and reviewing whatever records are available, whether in their possession or in the public domain, which are necessary to answer any of the questions in this application. If necessary, please use page 8 to provide requested information and/or to further explain elements within the application.

lease use page o to pro-	vide reques	otou III	101111411011	una, or	to further expir	uni cic	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	tilli tile appli	ication.
PART I: BROKER IN	FORMAT)						
BROKER NAME:									
MAILING ADDRESS:									
1000 11200									
CITY:					STATE: blank			ZIP CODE:	
CONTACT NAME:									
TELEPHONE:			FAX:		EMA	AIL:			
11117CV4IO7CV7SFCV3CV4IO7CVIICVIIICVAIIOGUAIVI	(11.25.2016 1200	201111						
PART II: APPLICAN	JJ/JNE@RW		N						
INSURED NAME:									
MAILING ADDRESS:									
(not P.O. BOX)					.			_	
CITY:					STATE: blank			ZIP CODE:	
WEBSITE:									
INDIVIDUAL CONTAC	T NAME:					TI	ITLE:		
FOR LOSS CONTROL						\bot			
TELEPHONE:				FAX:		EM	AIL:		
COMPANY TYPE:		│	orporation	Ind	ividual 🗏 Par	tnersh	ip 🗂 Joi	nt Venture	Other
	(0.1.)						-		
Describe (if Joint Vento	ure/Other):								
YEAR ESTABLISHED:									
TEAN COTABLIONED.									
GROSS REVENUE:									
Estimated for next 12	month pol	icy per	riod						
Expiring 12 month pol	licy period								
2 nd Prior Year									
3 rd Prior Year									
4 th Prior Year									
				L					
LIST ALL NAMED INSU	JREDS/SUB	SIDIA	RY COMP	ANIES	FOR WHICH CO	OVERA	AGE IS RE	QUESTED:	
Named Insured/Subsid					perations			Revenues	
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	III: COVERAGE)						
	ng Coverage		General Liability				Pollution Legal	Liability
Limits								
Retent			SIR Deductible				SIR O	Deductible (
	age Trigger		Claims Made O Occurrence O				Claims Made 🔘	Occurrence (
	Made Retroactive	е						
	if applicable)							
Carrier								
Premiu								
Produc	cts Pollution			Yes 🔘 No	O			
Has any	y location, operation	on or product	been e	excluded, limited in	cove	erage	or self-insured? Yes	O No O
lf yes, p	please explain							
Reque	sted Coverage			General Liability	,		Pollution Leg	gal Liability
Propos	sed Coverage Effe	ctive Date:						
Limits	-							
SIR/De	eductible							
	age Trigger		Claim	ns Made 🔘 Occur	rence	e 🔿	Claims Made C	Occurrence (
Location	osed Insured Prop on Address de City & State)	erties - Owne	d or op	Description of Operated by any name of Operated by any on-	perati	ions	at Location	Retro Date
Are the Yes ① If "Yes"	No O ", indicate size and " but are no longe	ver any under d contents r in use, have	the ta	nks been closed in	ассо	rdan	ne property(s) listed a	
-	Ground Storage T			osed Insured Prope			osure letters, etc.). ase complete a line fo	
Age	Construction	Size		Contents			Secondary Contains	nent

non-owned warehouses) Location Address (Include City & State)		Des	cription of Operations at Lo	Retro	Retro Date	
Transportation Pollution Coverage: (Complete only in Class 1 or Class 2	2 if expo	osure is	present)			
Average Number of Owned/Operated Daily Shipments	Class 1	Class 2	Average Number of Common Carrier Daily Shipments	Class 1	Class 2	
Trucks			Trucks			
Rail			Rail			
Watercraft			Watercraft			
Aircraft			Aircraft			
ass 2: All petroleum products, tox explosives	ic or fla	ımmable	chemicals, gases or other	liquids, rad	dioactive	materia
Optional Coverage Requests:	Yes nents de		No O An additional premium may	apply)		
Optional Coverage Requests: dicate optional coverage or endorsem ART IV: PREMISES INFORMATIO	nents de			apply)		
Optional Coverage Requests: dicate optional coverage or endorsem ART IV: PREMISES INFORMATION	nents de	esired. (A		apply)		
Optional Coverage Requests: dicate optional coverage or endorsem ART IV: PREMISES INFORMATION Please indicate the number of: Offices Manufacturing	oents de	esired. (A	An additional premium may			
Please indicate the number of: Offices Manufacturing Multi-use Describe: Other Describe:	ON	wareho	An additional premium may			
Optional Coverage Requests: dicate optional coverage or endorsem ART V. PREMISES INFORMATION Please indicate the number of: Offices Manufacturing Multi-use Describe:	ON	wareho	An additional premium may		uards, al	arms etc
Optional Coverage Requests: dicate optional coverage or endorsem ART V PREMISES INFORMATION Please indicate the number of: Offices Manufacturing Multi-use Describe: Other Describe:	ON Sees such	Wareho	An additional premium may	security g	uards, al	arms etc

2. Proposed Insured Properties – Not owned or operated by any named insured:

PART V: PRODUCTS AND BUSINESS SERVICES INFORMATION Business activity for the next twelve months: **Description of Operations** Sales Manufacturing of product to own specifications Manufacturing of product to customer specifications Manufactured/processed by third parties Mixing or blending Distribution - no mixing, blending, or repackaging Distribution with Repackaging/labeling Broker/drop ship (no physical possession) Waste treatment, storage or disposal facilities Please describe: Other Please describe: 2. List your 3 main products or product categories: **Product/Product Categories:** % of Sales % % 3. To which market is your product directed: Industrial % Intermediate Industrial _____% Contractor % Retail % 4. Is there a written quality control procedure for: Raw materials Yes O No O Yes No No Work in Progress Finished Product Yes No No 5. Are all labels, instructions, operating manuals, advertisements and warranties periodically reviewed by legal counsel or others? Yes No No If "Yes", please explain: 6. Have any products been discontinued, recalled, retrofitted or significantly modified? Yes \(\Omega\) No \(\Omega\) If "Yes", please describe: 7. Do you enter into indemnity or hold harmless agreements in connection with your business? Yes No If "Yes", please describe: 8. Do any of your products involve any form of nanotechnology and/or incorporate or utilize material designed or manipulated at the nanoscale? Yes No No If "Yes", please explain:

100975 (5/09) CI3768

If "Yes", please describe:

9. Do you have a formal certificate of insurance program for your suppliers?

10. Do you require additional insured status from your suppliers? Yes No

Yes 🔘 No 🔘

If "Yes", please exp		rts? Yes O No O		
12. Do you export prod If "Yes", please con				
Country		Annual Rev	/enue	
,				
13. Do you test incomir	 ng raw materials/comរុ	onent parts and outg	joing products? Yes	
14. Do you perform the If "Yes", please exp	installation and maint plain – including how o		uct(s)? Yes No No	
				No O limits? Yes O No O
16. Do you perform any If "Yes", please exp	-	ay from the premises	you own or occupy?	Yes O No O
If "Yes", state which		ustrial organization? `	Yes 🔘 No 🔘	
18. How long do you re Batch samples: Shipments:	Qu	ollowing? nality control reports: implaints:		
19. Do you belong to an If "Yes", state which		al associations? Yes	O No O	
PART VI: UMBRELL	A/EXCESS COVERA	GE EXPOSURE INF	ORMATION	
Umbrella Limit Reques	ted:			
1. Present Insurance C	<u>.</u>			
	Auto Liability	Employers Liability	Umbrella	Foreign Liability
Carrier				
Limits				
Deductibles/SIRs				
Effective date				
Premium		NA		
Coverage trigger	+		+	1
Coverage mudei	NA	NA	Claims Made 🔘	Claims Made 🔘

2.	Auto Information:			
	Vehicle Type	# Driven ≤ 50 mile radius	# Driven > 50 r	nile radius
	Private passenger			
	Light truck (GVW≤10,000lbs)			
	Medium truck (GVW≤20,000lbs)			
	Heavy/extra heavy truck or			
	truck/tractor (GVW > 20,000lbs)			
	A. Do you have an auto safety & trulf "Yes", please attach a copy of	0. 0	•	Yes O No O program.
	B. Do you have a vehicle maintenar	nce program in place?		Yes No No
2	Workers Compensation:			
J.	A. Is applicant a qualified self-insure	er for workers compensation c	overage?	Yes O No O
	If "Yes", please provide details:	cr for workers compensation c	overage:	1030 1100
	B. Is the applicant subject to any of	f the following:		
	Check all that apply:			
	Federal Employers' Liabil	lity Act		
	Longshoremen's and Hai			
		NOT WORKERS AND		
4.	Has any umbrella carrier or excess in residents need not reply) Yes No If "Yes", please provide details:		efused to renew? ((<u>NOTE</u> : Missouri
	RT VIII: CLAIMS INFORMATION ase provide five years loss information. Have you ever had a claim or loss on lif "Yes", please provide details (if no	n for all lines of coverage requ ver \$50,000? Yes O No O		
2.	In the last five years, has the app hazardous wastes or any other poll Yes No			
	If "Yes", please provide details:			
3.	In the last five years, has the applic contravention of any standard or law hazardous waste or other pollutant a Yes No	v relating to the release or thre	eatened release of	a hazardous substance

4.	List all claims made against the applicant during the past five years for cleanup or response action, "toxic tort" or other bodily injury, or property damage, resulting from the release of hazardous substances hazardous waste, or other pollutant, from this location or other locations owned or operated by the applicant, into the environment.						
	Please provide a brief description of the claim(s) and their disposition:						
	None to report						
5.	List all claims made against the applicant during the past five years for bodily injury, property damage, o environmental damage resulting from the ingestion, inhalation or release of hazardous substances or othe pollutants related to any of your products.						
	Please provide a brief description of the claim(s) and their disposition:						
	None to report						
for	the purpose of Questions 6 and 7 below, "you" means the manager or supervisor of the applicant responsible environmental affairs, control or compliance, or any manager of the location(s) which is the subject of this blication, or any officer, director or partner of the applicant.						
6.	At the time of the signing of this application, do you know of any facts or circumstances which may reasonably be expected to result in a claim or claims being asserted against your company for environmental damage, or for bodily injury or property damage arising from the release of hazardous substances or other pollutants into the environment? Yes No						
	If "Yes", please provide details:						
7.	At the time of the signing of this application, do you know of any facts or circumstances which may reasonably be expected to result in a claim or claims being asserted against your company for bodily injury or property damage arising from the ingestion, inhalation or release of hazardous substances or othe pollutants related to any of your products? Yes No No No No No No No No No No No No No						

	ART VIII: ADDITIONAL INFORMATION necessary, please use the blank space below to provide additional requested information or to further explain						
e	elements within the application.						
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NOTICE TO APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHE R PERSON FILES AN APPLICATIO N FOR INS URANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FA LSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MAT ERIAL THE RETO, COMMITS A FRAU DULENT ACT, WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO ARKANSAS, NEW MEXICO AND WEST VIRGINIA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR B ENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO COLORADO APPLICANTS:IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVI SION OF INSURA NCE WITHIN THE DEPARTMENT OF REGULATORY AUTHORITIES

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO A N INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT A ND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERI ALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT.

NOTICE TO FLORIDA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DE CEIVE ANY INSURER FILES A STATEME NT OF CL AIM OR AN A PPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY IN THE THIRD DEGREE.

NOTICE TO KENTUCKY APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPA NY OR OTHE R PERSON FILES AN APPLICATION FOR I NSURANCE CONTAINI NG ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

NOTICE TO LOUISIANA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KN OWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO MAINE APPLICANTS:: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO A NINS URANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

NOTICE TO MARYLAND APPLICANTS:: ANY PERSON WHO K NOWINGLY AND WILLFULLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMEN TOF A LOSS OR BENEFIT OR WHO KNOWINGLY A ND WILLFULLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO NEW JERSEY APPLICANTS: ANY PERSON WHO INCLUDES AN Y FALSE OR MISLEA DING INFORMATION ON AN APPLICATION FOR A N INS URANCE POLICY IS SUBJECT TO CRIMINAL A ND CIVIL PENALTIES.

NOTICE TO NEW YORK APPLICANTS: ANY PERSON WHO K NOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHE R PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATE RIALLY FALS E INFO RMATION, O R CONCEALS FO R T HE PU RPOSE OF MISLEADING, INFO RMATION CONCERNING A NY FA CT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL P ENALTY NOT TO EXCEE D FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

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NOTICE TO OHIO APPLICANTS: ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILE S A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: ANY P ERSON WHO KNO WINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURE R, MAKES ANY CLAIM FOR THE PROC EEDS OF AN INSURA NCE POLICY CONTAINING A NY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELO NY (365:15-1-10, 36 §3613.1).

NOTICE TO PENNSYLVANIA APPLICANTS: ANY PERSON WHO KNOWINGLY A ND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING A NY MATERI ALLY FALS E IN FORMATION OR CONCEALS FOR T HE PURP OSE OF MISLEADING, INFORMATION CONCERNING A NY FAC T MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS:: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADIN G INFORMATION TO A N INSURANCE COMPA NY FOR THE PURPOSE OF DEFRAUDING T HE C OMPANY. PENALTIES IN CLUDE IMP RISONMENT, FINES AN D DENIAL OF INS URANCE BENEFITS.

NOTICE TO VERMONT APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHE R PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CO NTAINING A NY MATERI ALLY FALSE INFO RMATION O R, CO NCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING A NY FACT MA TERIAL THERETO, COMMITS A FRA UDULENT A CT, WHICH MAY BE A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

The applicant represents that the above statements and facts are true and that no material facts have been suppressed or misstated.

COMPLETION OF THIS FORM DOES NOT BIND COVERAGE. Applicant's acceptance of Company's quotation and Company's written agreement to be bound are required to bind coverage and to issue a policy. It is agreed that this form shall be the basis of the contract should a policy be issued, and will be attached to the policy and made a part thereof.

All written statements and materials furnished to the Company in conjunction with this application are hereby incorporated by reference into this application and made a part hereof.

If an order to bind coverage is received, the application will be attached to the policy so it is necessary that all questions be answered in detail.

The applicant understands and recognizes that this Policy is issued based upon the Company's reliance on the accuracy of the information disclosed and the truth of the statements made herein and in the disclosure process. The applicant further recognizes that any breach of the foregoing warranties could have a material adverse affect on the Company.

The applicant further declares, warrants and represents that if the information supplied on this application changes between the date of this application and the time when the policy is issued, the applicant will immediately notify the company of such changes, and the Company may withdraw or modify any outstanding quotations and/or authorization or agreement to bind the insurance.

I hereby certify to the truth of the foregoing and that I am authorized to execute the foregoing warranty and representation on behalf of the applicant.

SIGNATURE OF OFFICER OR OWNER	DATE
PRINT NAME AND TITLE	
100975 (5/09)	